

Attachment A
Palico LLC
Business Continuity Plan
Client Disclosure Statement

Palico LLC has developed a Business Continuity Plan on how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

Contacting Us – If after a significant business disruption, you cannot contact us as you usually do at + 1 212-593-4994, you should call one of our alternative numbers: +33 1 73 06 22 00; or if these are not available, you can send an e-mail to support@palico.com

Our Business Continuity Plan – We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our employees and property, making a financial and operational assessment and protecting the firm’s books and records. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Google Suite Cloud backs up our important records electronically.

Varying Disruptions – Significant business disruptions can vary in their scope, such as only our firm, a single building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or a building housing our firm, we will transfer our operations to a local site when needed and expect to recover and resume business within one business day. In a disruption affecting our business district, city, or region, we will transfer our operations to a site outside of the affected area and recover and resume business within two business days.

Remote Work Plan – Palico LLC allows registered representatives and associated persons to work remotely in the event of an SBD. As a result, additional policies and procedures tailored to such circumstances have been implemented to assist registered representatives and other personnel in continuing business during a SBD.

For more information – If you have questions about our business continuity planning, you can contact us at + 1 212-593-4994

This plan is subject to modification, and customers may alternatively obtain updated summaries by requesting a written copy by mail.

Last Updated: 04/30/2026